



Sterne Kessler
Goldstein Fox

July 3, 2002

WRITER'S DIRECT NUMBER:
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Commissioner for Patents
Washington, D.C. 20231

Art Unit 2823

Re: U.S. Non-Provisional Patent Application
Appl. No. 09/753,664; Filed: January 4, 2001
For: **High Density Metal Capacitor Using Via Etch Stopping Layer as Field Dielectric in Dual-Damascene Interconnect Process**
Inventor: Liming Tsau
Our Ref: 1875.0230000

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form PTO/SB/17;
 2. An Amendment and Reply Under 37 C.F.R. § 1.111;
 3. A Petition for Extension of Time Under 1.136(a)(1);
 4. An Information Disclosure Statement (IDS);
 5. Form PTO-1449 with (7) accompanying documents;
 6. Return post card; and
 7. Our Check No. 35729 for \$ 290.00 to cover:
\$ 110.00 for extension of time fee; and
\$ 180.00 for late submission of IDS.

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It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Donald J. Featherstone
Attorney for Applicant
Registration No. 33,876

Enclosures

DJF/LGK/agj

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SKGF Rev. 2/15/02 dew

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

JUL 9 3 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 290.00)

Complete if Known

Application Number	09/753,664
Filing Date	January 4, 2001
First Named Inventor	Liming Tsau
Examiner Name	Brairton, Scott A.
Group Art Unit	2823
Attorney Docket No.	1875.0230000

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036

Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status See 37 CFR 1.27

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	\$110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	\$180.00
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
Other fee (specify)					

SUBTOTAL (2) (\$ 0.00)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 290.00)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Donald J. Featherstone Attorney for Applicant	Registration No Attorney Agent	33,876	Telephone	202-371-2600
Signature	<i>Donald J. Featherstone</i>			Date	7/3/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.